

ATTN: BOX MISSING PARTS

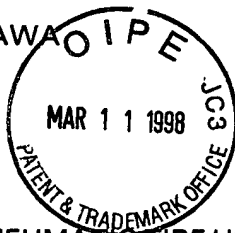
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Shunji ARAKI and Kazuhiro YANAGISAWA

Serial No.: 08/934,396

Filed: September 19, 1997



For: RUBBER COMPOSITION AND PNEUMATIC TIRE USING SAID RUBBER COMPOSITION

**SUBMISSION OF EXECUTED DECLARATION, REQUISITE FEES  
AND VERIFIED ENGLISH LANGUAGE TRANSLATION**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

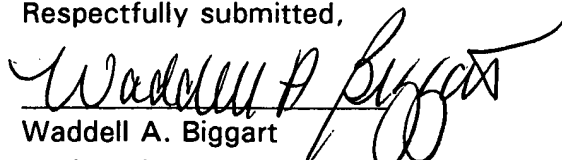
Sir:

In response to the "Notice to File Corrected Application Papers" and "Notice to File Missing Parts of Application-Filing Date Granted", mailed January 22, 1998 and January 23, 1998, respectively, submitted herewith is the Declaration for the above-mentioned application properly executed by the inventor(s) along with the requisite filing fees. Also enclosed please find a Verified English language translation (26 pages), a Submission of Priority Document, one (1) priority document, a Preliminary Amendment, an Information Disclosure Statement, a PTO Form 1449 with references, an executed Assignment and a PTO Form 1595.

Checks for the statutory fee of \$1,050.00 and Assignment recordation fee of \$40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

The Office is invited to contact Sughrue, Mion, Zinn, Macpeak & Seas, PLLC on any question which might arise on the above-named application. Any contact that the Office might need to make should be directed to the undersigned at (202)293-7060.

Respectfully submitted,

  
Waddell A. Biggart  
Registration No. 24,861

SUGHRUE, MION, ZINN, MACPEAK & SEAS  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3202  
Tel: (202) 293-7060  
WAB:clf  
Date: March 11, 1998

DECLARATION



I, Shiro Anzai, a staff member of TAIYO, NAKAJIMA & KATO, Seventh Floor, HK-Shinjuku Bldg., 3-17, Shinjuku 4-chome, Shinjuku-ku, Tokyo 160, Japan, do hereby declare:

1. that I am well acquainted with the English and Japanese languages and I hereby certify that, to the best of my knowledge and belief, the following is a true and correct translation made by me into the English language of the accompanying copies of the documents in respect of U.S. Patent Application Serial No. 08/934,396 filed on September 19, 1997.

2. that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Further Declarant sayeth not.

Dated this 11th day of November 1997

Shiro Anzai  
Shiro Anzai

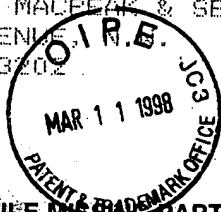


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/934,396	09/19/97	ARAKI	S 046849

0222/0123  
SUGHRUE, MION, ZINN, MACPHEE & SEAS  
2100 PENNSYLVANIA AVENUE  
WASHINGTON DC 20037-3202



NOT ASSIGNED

#3  
0000

DATE MAILED:

01/23/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 990.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 990 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ -0-, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ 130.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

3/17/1998 STHORNTD 00000018 08934396

11 FC:10 Direct the response and any questions about this notice to "Attention: Box Missing Parts."

12 FC:105

13 FC:139

130.00 OP

**A copy of this notice MUST be returned with the response.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER 08/934,596	FILING/RECEIPT DATE 05/19/97	FIRST NAMED APPLICANT HAKKI	ATTORNEY DOCKET NO./TITLE S 046849
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0222/0122  
SUGHRUE, MION, ZINN, MACPEAK & ASSOCIATES  
2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON DC 20037-3202



NOT ASSIGNED

0000

DATE-MAILED:

01/22/98

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the application is informal since it does not comply with the regulations for the reasons checked below. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to correct the informalities noted below.

The required items identified below must be timely submitted to avoid abandonment:

- ☒ 1. A verified English translation of the non-English language application (37 CFR 1.52(d)) and \$ 130 fee under 37 CFR 1.17(k).
- ☐ 2. An Abstract, commencing on a separate sheet (37 CFR 1.53(d) and 37 CFR 1.72(b)).
- ☐ 3. The Claim(s) commencing on a separate sheet (37 CFR 1.53(d) and 37 CFR 1.75(h)).
- ☐ 4. A substitute specification in compliance with 37 CFR 1.52 because:
- ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
  - ☒ Papers are not typewritten or mechanically printed in permanent dark ink on one side.
  - ☐ Papers contain hand lettering.
  - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
  - ☐ Line spacing on the sheets is not 1-1/2 or double-spaced.
  - ☒ The pages of specification including the abstract and claims are not consecutively numbered starting with the number "1".
  - ☐ The pages of specification do not contain page numbers centrally located within the top or, preferably, bottom margin.
  - ☐ The copy of the prior application submitted for this 37 CFR 1.60 application contains the defects checked above.
- ☐ 5. Substitute drawings in compliance with 37 CFR 1.84 because:
- ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
  - ☐ All drawing sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
- ☐ 6. Page(s) 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, are not of sufficient clarity, contrast and quality for electronic reproduction. New typewritten or mechanically printed pages of sufficient clarity, contrast and quality for electronic reproduction, together with a statement that the new pages contain the same material as those on deposit are required. This statement must be verified if made by someone other than an attorney or agent registered before the PTO.
- ☐ 7. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*Theresa Oka*

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